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Intellectual Property Attorneys

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Pages: 4 including cover

Serial 09/815,778

Today's March 3, 2006

No.:

Date:

Filing March 24, 2001

Docket

Date:

No.: NLMI.P141

☒ Urgent☒ For Review☒ Please Comment☒ Please Acknowledge

- 1) Information Disclosure Statement
- 2) PTO/SB/17 Fee Transmittal Form

For Application:

09/815,778 filed on March 24, 2001Confidentiality Note

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PTO/SB/17 (01-08)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2006☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

180

Complete if Known

Application Number	09/815,778
Filing Date	March 24, 2001
First Named Inventor	Sandeep KHANNA
Examiner Name	Cynthia L. Davis
Art Unit	2665
Attorney Docket No.	NLMI,P141

METHOD OF PAYMENT (check all that apply)

- ☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☒ Deposit Account Deposit Account Number: 50-1914 Deposit Account Name: _____
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims	Extra Claims	Fee (\$)
_____ - 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims	Extra Claims	Fee (\$)
_____ - 3 or HP = _____ x _____ = _____		
HP = highest number of independent claims paid for, if greater than 3.		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____ - 100 = _____	_____ / 50 = _____	(round up to a whole number) x _____	= _____	

4. OTHER FEE(S)

Non-English Specification. \$130 fee (no small entity discount)	<u>Fees Paid (\$)</u>
Other (e.g., late filing surcharge): <u>Submission of Information Disclosure Statement</u>	<u>180</u>

SUBMITTED BY

Signature	Registration No. (Attorney/Agent) 40-171	Telephone 408-236-6640
Name (Print/Type) Charles E. Shemwell		Date March 3, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MAR 03 2006

PATENT

IN THE UNITED STATES PATENT OFFICE

In Re Patent Application of:

Sandeep KHANNA

Application No.: 09/815,778

Filed: March 24, 2001

For: METHOD AND APPARATUS FOR
PERFORMING PRIORITY ENCODING IN A
SEGMENTED CLASSIFICATION SYSTEM
USING ENABLE SIGNALSMail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner: Cynthia L. Davis

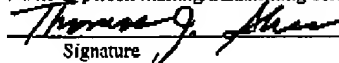
Art Unit: 2665

Certificate of Mailing or Transmission

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Thomas J. Shea

Name of person mailing/transmitting correspondence



Signature

March 3, 2006
DateINFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Sir:

Enclosed is an Information Disclosure Citation Form PTO 1449 together with a copy of each reference cited therein, excluding U.S. Patents and Published U.S. Patent Applications. It is respectfully requested that the cited references be considered and that the enclosed copy of the Form PTO 1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant.

Pursuant to 37 CFR 1.97(h), the submission of this Information Disclosure Statement is not to be construed as an admission that the information cited in this statement is material to patentability.

This Information Disclosure Statement is being submitted pursuant to 37 CFR 1.97(c).

The Commissioner is hereby authorized to charge any fees which may be required in connection with this submission to Deposit Account No. 501914.

Respectfully submitted,

Date: March 3, 2006By: 

Charles E. Shemwell, Reg. No. 40,171

03/07/2006 EFLORES 00000128 501914 09815778

01 FC:1806 180.00 DA

Page 1 of 1

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)				Attorney Docket No.: NLMI.P141	Application Number: 09/815,778	
				First Named Inventor: Sandeep KHANNA		
				Filing Date: March 24, 2001	Group: 2665	
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
	1	US-6,748,484	B1	Henderson et al.	06-2004	711/108
	2	US-6,708,250	B2	Gillingham, Peter	03-2004	711/108
	3	US-6,415,354	B1	Joffe et al.	07-2002	711/108
	4	US-6,377,577	B1	Bechtolsheim et al.	04-2002	370/392
	5	US-6,470,418	B1	Lien et al.	10-2002	711/108
	6	US-6,006,306	A	Haywood et al.	12-1999	711/108
	7	US-6,457,051	B1	Riddle et al.	09-2002	709/224
	8	US-6,778,498	B2	McDysan, David E.	08-2004	370/231

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.